

PATENT  
00338CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit:

Examiner:

In re application of  
Stefanik

:

:

:

REMOTE CONTROL DEVICE WITH  
DIRECTIONAL MODE INDICATOR

Serial No.:

:

Filed:

:

INFORMATION DISCLOSURE STATEMENT

July 22, 2003

Mail Stop: Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

Applicant, in accordance with his duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advises the United States Patent and Trademark Office of the references listed on the accompanying Form PTO/SB/08A *Information Disclosure Statement by Applicant* (substitute for Form 1449A/PTO). A copy of each of the cited references is herewith enclosed.

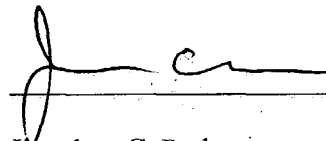
Applicant notes that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement by Applicant* "shall not be construed to be an admission that the

information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Applicant further notes that the filing of this *Information Disclosure Statement by Applicant* is not an admission that the references cited herein constitute prior art under 35 U.S.C. §§ 102-103 with respect to the captioned application.

Pursuant to 37 C.F.R. § 1.97(b)(1), Applicant submits that no fee is necessary for consideration of this information disclosure statement because this *Information Disclosure Statement by Applicant* has been filed within three months of the filing date of the above-identified application. Nevertheless, the Commissioner is hereby authorized to charge any additionally required fees necessary for consideration of this *Information Disclosure Statement by Applicant* to Account No. 11-1110.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Jonathan C. Parks', is written over a horizontal line.

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number			
		Filing Date			
		First Named Inventor	Stefanik		
		Art Unit			
		Examiner Name			
Sheet	1	of	3	Attorney Docket Number	00338CON

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		4,764,981	Aug 16, 1988	Miyahara et al.	
		5,898,398	Apr 27, 1999	Kumai	
		5,945,921	Aug 31, 1999	Seo et al.	
		5,949,351	Sep 07, 1999	Hahm	
		6,002,450	Dec 14, 1999	Darbee et al.	
		6,008,735	Dec 28, 1999	Chiloyan et al.	
		6,160,491	Dec 12, 2000	Kitao et al.	
		6,208,341 B1	Mar 27, 2001	van Ee et al.	
		6,407,779 B1	Jun 18, 2002	Herz	
		US2001/0042245 A1	Nov 15, 2001	Iwamura	
		5,648,757	Jul 15, 1997	Vernace et al.	
		5,705,997	Jan 06, 1998	Park	
		6,130,726	Oct 10, 2000	Darbee et al.	
		6,278,499 B1	Aug 21, 2001	Darbee et al.	
		6,346,891 B1	Feb 12, 2002	Feinleib et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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		First Named Inventor	Stefanik		
		Art Unit			
		Examiner Name			
Sheet	2	of	3	Attorney Docket Number	00338CON

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		United States Patent Application No. 09/751,288 filed December 29, 2000. (Attorney Docket No. 00335)	
		United States Patent Application No. 09/751,343 filed December 29, 2000. (Attorney Docket No. 00336)	
		United States Patent Application No. 09/751,468 filed December 29, 2000. (Attorney Docket No. 00337)	
		United States Patent Application No. 09/751,279 filed December 29, 2000. (Attorney Docket No. 00338)	
		United States Patent Application No. 09/751,280 filed December 29, 2000. (Attorney Docket No. 00339)	
		"Special Report", printed from <a href="http://www.remotecentral.com/ces2000/xantech.htm">http://www.remotecentral.com/ces2000/xantech.htm</a> on May 23, 2000.	
		"Wave' Home Automation," printed from <a href="http://www.remotecentral.com/ces2000/zilog.htm">http://www.remotecentral.com/ces2000/zilog.htm</a> on 5/23/00.	
		"Stargate-The Next Generation in Automation," printed from <a href="http://www.jdstechnologies.com">http://www.jdstechnologies.com</a> on 5/23/00.	
		Everett, D., "Smart Card Technology: Introduction to Smart Cards", Smart Card News, April, 1999.	
Examiner Signature		Date Considered	

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		"Philips Pronto Remote Control," printed from <a href="http://www.remotecentral.com/pronto/index1.htm">http://www.remotecentral.com/pronto/index1.htm</a> on 5/26/00.		
		"RTI Theater Touch T2," printed from <a href="http://www.remotecentral.com/touch/index2.htm">http://www.remotecentral.com/touch/index2.htm</a> on 5/22/00.		
		"Philips Pronto Remote Control," printed from <a href="http://www.remotecentral.com/pronto/software.htm">http://www.remotecentral.com/pronto/software.htm</a> on 5/26/00		
		"Home Theater Master SL-9000," printed from <a href="http://www.remotecentral.com/sl9000/index2.htm">http://www.remotecentral.com/sl9000/index2.htm</a> on 5/22/00.		
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